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 State of NC
 2011

Statement of Organization - Candidate Committee

Use this form to create a new or update an existing candidate committee.

This form must be accompanied by forms CRO-3100 and CRO-3500 (when amending, only re-submit if applicable).

Amendment
 Yes No

1. Committee Information

| | | |
|---|--|---------------------------------|
| a. Full Name Elect Larry Herman for Hickory School Board Member-Ward 6 | | c. ID Number 9DU92F |
| b. Mailing Address (include City, State and Zip Code) 460 27th Ave Cir NW, Hickory, NC 28601 | | d. Date Organized 9/1/2011 |
| | | e. Phone Number 828-327-6711 |

2. Candidate Information

Candidate's Primary Committee

| | | | |
|--|------------------|---|--|
| a. Full Name Larry Herman | | e. Candidate ID Number 9DU92F | f. Party Affiliation Non-Partisan <small>(Indicate Non-partisan if applicable)</small> |
| b. Mailing Address (include City, State, and Zip Code) 460 27th Ave Cir NW, Hickory, NC 28601 | | g. Office Sought Hickory School Board Member | |
| c. Phone Number 828-327-6711 | d. Email Address | h. Next Election Year | i. Jurisdiction Ward 6 |

Email copy of notices

3. Treasurer Information

| | |
|---------------------------------|--|
| a. Full Name Larry Herman | b. Mailing Address (include City, State, and Zip Code) 460 27th Ave Cir NW, Hickory, NC 28601 |
| c. Phone Number 828-327-6711 | d. Email Address |

4. Custodian of Books Information

| | |
|-----------------|--|
| a. Full Name | b. Mailing Address (include City, State, and Zip Code) |
| c. Phone Number | d. Email Address |

I prefer to receive notices by email Yes No

Email copy of notices

5. Assistant Treasurer Information

| | |
|--|---|
| a. Full Name | <input type="checkbox"/> Add <input type="checkbox"/> Remove |
| b. Mailing Address (include City, State, and Zip Code) | |
| c. Phone Number | d. Email Address |

6. Account Information (incl. CRO-3500)

| | |
|--|---|
| a. Financial Institution Full Name SECU | <input type="checkbox"/> Add <input type="checkbox"/> Remove |
| b. Purpose Campaign | |
| c. Account Code LRH | d. Type Checking |

Email copy of notices

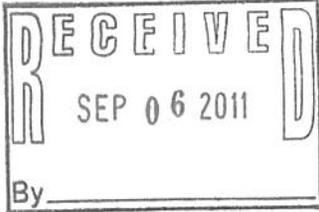
CERTIFICATION

I certify that the Committee or Fund is in compliance with all applicable provisions of Article 22A, 22B & 22D-22M of Chapter 163 of the NC General Statutes and that no funds are commingled with prohibited or other non-disclosed funds. I further certify that this report is complete, true and correct.

 Larry Herman
 Printed Name of Signer

Larry Herman
 Signature of Appointed Treasurer

 9/6/2011
 Date



North Carolina
 State Board of Elections
 506 N Harrington Street
 Raleigh, NC 27603

Kimberly Westbrook-Strach
 Deputy Director - Campaign Reporting

Mailing Address
 PO Box 27255
 Raleigh, NC 27611-7255
 (919) 733-7173
 Fax: (919) 715-8047

Confidential

Certification of Financial Account Information

This Certification is used to report confidential bank account information for all financial accounts established by the committee and must accompany the Statement of Organization Form

FILED BY:

Committee Name:

Treasurer Name:

Treasurer Address:
 (include city, state, & zip)

Treasurer Phone:

**This page filed with the
 State Board of Elections**

I certify that the information provided below is true and accurate. I am providing all account information for the above named Committee. These account numbers include all bank accounts utilized, credit card accounts, money market or savings accounts, or any other financial account used for any purpose by the Committee.

The information provided on this form is considered confidential and is not subject to public disclosure. The information provided would only be used for the purposes of an audit or investigation or as required by a court of competent jurisdiction. It will be necessary to assign each account number a "account code" in order to provide account information on required disclosure reports. If an account number is used as the "account code", confidentiality of the account number is presumed to have been waived.

The treasurer shall maintain all moneys of the political committee in a bank account or bank accounts used exclusively by the political committee and shall not commingle those funds with any other moneys.

| Type of account | Financial Institution | Address | Account Number | Account Code |
|-----------------|-----------------------|---------|----------------|--------------|
| | | | | |
| | | | | |

By signing this statement, I authorize agents of the State Board of Elections to inspect all accounts provided.

 Date Signed

 Signature of Candidate or Treasurer

In lieu of providing account information, I certify that this committee will not raise or spend any money except for the filing fee. (Only candidates may choose this option.)

 Date Signed

 Signature of Candidate or Treasurer



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Certification of Treasurer

This Certification is used by Candidate Committees to appoint a treasurer to the committee. This form is required and must accompany the Candidate's Statement of Organization

FILED BY:

Candidate Name: Larry Herman
Treasurer Name: Larry Herman
Treasurer Address: 460 27th Ave Cir NW
(include city, state, & zip) Hickory, NC 28601

Treasurer Phone: 828-327-6711

I certify that the above information is correct, and I, as candidate, appoint said treasurer to personally fulfill the duties and responsibilities imposed upon the appointed treasurer and subject to the penalties and sanctions in *Subchapter VIII. Regulation of Election Campaigns* of Chapter 163 of the North Carolina General Statutes.

I understand that if the above Treasurer changes, it will be necessary to certify a new treasurer and amend the existing Statement of Organization within 10 days of the vacancy. I further understand that the above Treasurer is required to receive training by the State Board of Elections within three months of this appointment according to Article 163.278.9(k).

09/06/2011

Date Signed


Signature of Candidate

Note: This Certification is to be filed at the Election Board where the committee's campaign reports are filed.



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Certification of Threshold

This Certification is used by Candidate and Party Committees only, to declare or withdraw the committee's intent to raise or spend under \$1,000 in the current election cycle.

FILED BY:

Committee Name: Elect Larry Herman for Hickory School Board Member-Ward 6
 Treasurer Name: Larry Herman
 Treasurer Address: 460 27th Ave Cir NW
 (include city, state, & zip) Hickory, NC 28601

 Treasurer Phone: 828-327-6711

Check One:

I certify that this committee intends to neither receive nor expend more than \$1,000 during the current election cycle under the procedures set forth in G.S. 163-278.10A. This certification will remain in effect until the end of the election cycle for this committee. If this committee exceeds \$1,000 in contributions or expenditures during this election cycle, I understand that I must immediately notify the appropriate board of elections and file required campaign finance reports.

THIS DECLARATION CAN ONLY BE MADE AT THE BEGINNING OF AN ELECTION CYCLE.

I am withdrawing my Certification to remain under the \$1,000 threshold. I will now be required to file the next scheduled report for all contributions and expenditures that have not been previously reported from the beginning of the current election cycle. I further agree to file all future reports required.

09/06/2011
Date Signed

Larry R. Herman
Signature

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Candidate Designation of Committee Funds

This form is used by candidate committees only and allows the candidate to designate in the event of their death, how the committee's funds are to be disbursed using the nine allowable methods outlined in 163-278.16B(a).

Candidate Name: Larry Herman

Committee Name: Elect Larry Herman for Hickory School Board Member –Ward 6

Treasurer Name: Larry Herman

If Candidate is own treasurer, designate an agent to carry out designations: Kay P. Herman

Committee ID #: 9DU92F

Level Registered: [State] (County) If county, specify: Catawba

I, Larry Herman, hereby direct that in the event of the death or incapacity of the
(Name of candidate or estate representative)
aforementioned candidate, all funds remaining in the Campaign Committee account(s) (after payment of permitted outstanding debts or reasonable expenses for winding up the Committee or closing office) be paid in the following manner as permitted by N.C. Gen. Stat. 163-278.16B(a).

| <u>Name of Entity</u> <small>(Select from §163-278.16B(a))</small> | <u>Plan for Disbursement (eg. Amount or %)</u> |
|---|--|
| 1. <u>Catawba County Humane Society</u> | <u>100%</u> |
| 2. _____ | _____ |
| 3. _____ | _____ |

By signing this form, I certify that the foregoing entities are eligible beneficiaries under N.C. Gen. Statute 163-278.16B(a). A copy of this form should be maintained with the committee records.

Signature of Candidate or Representative:
Larry P. Herman Date: 09/06/2011

If signed by an estate representative, indicate the date of candidate's death: _____

Note: This Designation is to be filed with the Election Board where the committee's campaign reports are filed.